



Hindi Vidya Prachar Samiti's

Ramniranjan Jhunjunwala College

of Arts, Science & Commerce

(Autonomous College)



Affiliated to

UNIVERSITY OF MUMBAI

Syllabus for the TYBVOC

Program: BVOC

Course: Financial Markets & Services

*(Adapted from the Credit Based Semester and Grading System TYBVOC Syllabus of
University of Mumbai 2018-19)*

TYBVOC (Financial Markets & Services) Syllabus Semester V & VI**DISTRIBUTION OF TOPICS AND CREDITS****TYBVOC FMS SEMESTER V**

Course	Nomenclature	Credits	Topics
RJCUBVOCFMS501	Personality Enrichment	06	<ol style="list-style-type: none"> 1. Introduction 2. Self-Awareness and Self-Motivation 3. Memory and study skills 4. Power of positive thinking
RJCUBVOCFMS502	International Finance	06	<ol style="list-style-type: none"> 1. Fundamentals of International Finance 2. Foreign Exchange Markets, Exchange Rate Determination & Currency Derivatives 3. World Financial Markets & Institutions & Risks 4. Foreign Exchange Risk, Appraisal & Tax Management
RJCUBVOCFMS503	Project Management	06	<ol style="list-style-type: none"> 1. Introduction to Project Management & Project Initiation 2. Analyzing Project Feasibility 3. Budgeting, Cost & Risk Estimation in Project Management 4. New Dimensions in Project Management
RJCUBVOCFMS504	Direct Taxation	04	<ol style="list-style-type: none"> 1. Definitions and Residential Status 2. Heads of Income – I 3. Heads of Income - II 4. Computation of Total Income & Taxable Income
RJCUBVOCFMS505	Innovative Financial Services	04	<ol style="list-style-type: none"> 1. Introduction to Traditional Financial Services 2. Issue Management and Securitization 3. Financial Services and its Mechanism 4. Consumer Finance and Credit Rating
RJCUBVOCFMS506	OrganisationBehaviour & HRM	04	<ol style="list-style-type: none"> 1. OrganisationalBehaviour-I 2. Organizational Behavior-II 3. Human Resource Management-I 4. Human Resource Management-II

TYBVOC FMS SEMESTER VI

Course	Nomenclature	Credits	Topics
RJCUBVOCFMS601	Investment Analysis and Portfolio Management	06	<ol style="list-style-type: none"> 1. Introduction to Investment Environment 2. Risk - Return Relationship 3. Portfolio Management 4. Theories, Capital Asset Pricing Model and Portfolio Performance Measurement
RJCUBVOCFMS602	Business Planning & Entrepreneurial Management	06	<ol style="list-style-type: none"> 1. Foundations of Entrepreneurship Development: 2. Types & Classification Of Entrepreneurs 3. Entrepreneur Project Development & Business Plan 4. Venture Development
RJCUBVOCFMS603	Project Management	06	<ol style="list-style-type: none"> 1. Introduction to Project Management & Project Initiation 2. Analyzing Project Feasibility 3. Budgeting, Cost & Risk Estimation in Project Management 4. New Dimensions in Project Management
RJCUBVOCFMS604	Fundamental & Technical Analysis	04	<ol style="list-style-type: none"> 1. Introduction to Technical Analysis 2. Fundamental Analysis Module 3. Understanding Financial Statements 4. Valuation Methodologies
RJCUBVOCFMS605	Global Capital Markets	04	<ol style="list-style-type: none"> 1. Impact of Globalisation on the Capital Markets 2. Global (International Bond Market) 3. Global Equity Markets 4. Obstacles to International Investments
RJCUBVOCFMS606	Venture Capital and Private Equity	04	<ol style="list-style-type: none"> 1. Roles and Hedge Funds 2. Cycle of PE 3. Strategies of Private Equity 4. Market-Investing in Developing Market

SEMESTER V		L	Cr
Paper-I: Personality Enrichment	Paper Code: RJCUBVOCFMS501	60	06
UNIT I		15	
Introduction			
<ul style="list-style-type: none"> ➤ Definition of Personality ➤ Determinants of Personality - biological, psychological and socio-cultural factors. ➤ Misconceptions and clarifications ➤ Need for personality development 			
UNIT II		15	
Self-Awareness and Self-Motivation			
<ul style="list-style-type: none"> ➤ Self-analysis through SWOT and Johari window ➤ Elements of motivation ➤ Seven rules of motivation ➤ Techniques and strategies for self-motivation ➤ Motivation checklist and Goal setting based on principle of SMART ➤ Self-motivation and life ➤ Importance of self-esteem and enhancement of self-esteem. 			
UNIT III		15	
Memory and study skills			
<ul style="list-style-type: none"> ➤ Definition and importance of memory ➤ Causes of forgetting ➤ How to forget (thought stopping), how to remember (techniques for improving memory) ➤ The technique of passing exams-management of examination fear. 			
UNIT IV		15	
Power of positive thinking			

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<ul style="list-style-type: none"> ➤ Nurturing creativity, decision-making and problem solving. ➤ Thinking power- seven steps for dealing with doubt ➤ Traits of positive thinkers and high achievers ➤ Goals and techniques for positive thinking ➤ Enhancement of concentration through positive thinking ➤ Practicing a positive life style. 	
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SEMESTER V		L	Cr
Paper-II: International Finance	Paper Code: RJCUBVOCFMS502	60	06
UNIT I		15	
Fundamentals of International Finance			
<ul style="list-style-type: none"> ➤ Introduction to International Finance: Meaning/ Importance of International Finance, Scope of International Finance, Globalization of the World Economy, Goals of International Finance, The Emerging Challenges in International Finance ➤ Balance of Payment: Introduction to Balance of Payment, Accounting Principles in Balance of Payment, Components of Balance of Payments, Balance of Payment Identity Indian Heritage in Business, Management, Production and Consumption. ➤ International Monetary Systems: Evolution of International Monetary System, Gold Standard System, Bretton Woods System, Flexible Exchange Rate Regimes – 1973 to Present, Current Exchange Rate Arrangements, European Monetary System, Fixed & Flexible Exchange Rate System ➤ An introduction to Exchange Rates: Foreign Bank Note Market, Spot Foreign Exchange Market Exchange Rate Quotations <ul style="list-style-type: none"> ➤ Direct & Indirect Rates ➤ Cross Currency Rates ➤ Spread & Spread % Factors Affecting Exchange Rates 			
UNIT II		15	
Foreign Exchange Markets, Exchange Rate Determination & Currency Derivatives			
<ul style="list-style-type: none"> ➤ Foreign Exchange Markets: Introduction to Foreign Exchange Markets, Structure of Foreign Exchange Markets, Types of Transactions & Settlement Date, Exchange Rate Quotations & Arbitrage, Forward Quotations (Annualized Forward Margin) 			

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<p>➤ International Parity Relationships & Foreign Exchange Rate: Interest Rate Parity, Purchasing Power Parity & Fishers Parity, Forecasting Exchange Rates (Efficient Market Approach, Fundamental Approach, Technical Approach, Performance of the Forecasters), Global Financial Markets & Interest Rates (Domestic & Offshore Markets, Money Market Instruments)</p> <p>➤ Currency & Interest Rate Futures: Introduction to Currency Options (Option on Spot, Futures & Futures Style Options), Futures Contracts, Markets & the Trading Process, Hedging & Speculation with Interest Rate Futures, Currency Options in India</p>	
UNIT III	15
World Financial Markets & Institutions & Risks	
<p>➤ Euro Currency Bond Markets: Introduction to Euro Currency Market, Origin of Euro Currency Market, Euro Bond Market (Deposit, Loan, Notes Market), Types of Euro Bonds, Innovation in the Euro Bond Markets, Competitive Advantages of Euro Banks, Control & Regulation of Euro Bond Market</p> <p>➤ International Equity Markets & Investments: Introduction to International Equity Market, International Equity Market Benchmarks, Risk & Return from Foreign Equity Investments, Equity Financing in the International Markets, Depository Receipts – ADR,GDR,IDR</p> <p>➤ International Foreign Exchange Markets: Meaning of International Foreign Exchange Market, FERA v/s FEMA, Scope & Significance of Foreign Exchange Markets, Role of Forex Manager, FDI v/s FPI,Role of FEDAI in Foreign Exchange Market</p> <p>➤ International Capital Budgeting: Meaning of Capital Budgeting, Capital Budgeting Decisions, Incremental Cash Flows, Cash Flows at Subsidiary and Parent Company, Repatriation of Profits, Capital Budgeting Techniques – NPV</p>	
UNIT IV	15
Foreign Exchange Risk, Appraisal & Tax Management	
<p>➤ Foreign Exchange Risk Management: Introduction to Foreign Exchange Risk Management, Types of Risk, Trade & Exchange Risk, Portfolio Management in Foreign Assets, Arbitrage & Speculation</p> <p>➤ International Tax Environment: Meaning of International Tax Environment, Objectives of Taxation, Types of taxation, Benefits towards Parties doing Business Internationally, Tax Havens, Tax Liabilities</p> <p>➤ International Project Appraisal:</p>	

Meaning of Project Appraisal, Review of Net Present Value Approach (NPV), Option Approach to Project Appraisal, Project Appraisal in the International Context, Practice of Investment Appraisal.	
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Paper-III: Project Management	Paper Code: RJCUBVOCFMS503	60	06
UNIT I		15	
Introduction to Project Management & Project Initiation			
<p>➤ Introduction to Project Management: Meaning/Definition of Project & Project Management, Classification of Projects, Why Project Management, Characteristics/Importance of Project Management, Need for Project Management (Objectives), History of Project Management</p> <p>➤ Organizational Structure (Project Organization): Meaning/Definition of Organizational Structure, Organizational Work Flow, Developing Work Integration Positions, Types of Organizational Structure, Forms of Organization, Strategic Business Units (SBU) in Project Management.</p> <p>➤ Project Initiation: Project Selection-Meaning of Project Selection, Importance of Project Selection, Criteria for Project Selection (Models), Types of Project Selection, Understanding Risk & Uncertainty in Project Selection Project Manager-Meaning of Project Manager, Role of Project Manager, Importance of Project Manager, Role of Consultants in Project Management, Selecting Criteria for Project Manager Project Planning-Importance of Project Planning, Functions of Project Planning, System Integration, Project Management Life Cycle, Conflicts & Negotiation Handling in Project Management, Planning</p>			
UNIT II		14	
Analyzing Project Feasibility			
<p>➤ Project Feasibility Analysis: Meaning/Definition of Project Feasibility, Importance of Project Feasibility, Scope of Project Feasibility Types of Project Feasibility - Market Feasibility, Technical Feasibility, Financial Feasibility, Economic Viability, Operational Feasibility SWOT Analysis (Environment Impact Assessment, Social Cost Benefit</p>			

<p>Analysis)</p> <ul style="list-style-type: none"> ➤ Market Analysis: Meaning of Market Analysis, Demand Forecasting, Product Mix Analysis, Customer Requirement Analysis ➤ Technical Analysis: Meaning of Technical Analysis, Use of Various Informational Tools for Analyzing, Advancement in the Era of E- Commerce in Project Management ➤ Operational Analysis: Meaning of Operation Management, Importance of Operation Management, Operation Strategy - Levels of Decisions, Production Planning & Control, Material Management - Work Study & Method Study, Lean Operations. 	
UNIT III	16
Budgeting, Cost & Risk Estimation in Project Management	
<ul style="list-style-type: none"> ➤ Funds Estimation in Project: Means of Financing, Types of Financing, Sources of Finance, Government Assistance towards Project Management for Startups, Cost Control (Operating Cycle, Budgets & Allocations), Determining Financial Needs for Projects, Impact of Leveraging on Cost of Finance ➤ Risk Management in Projects: What is Risk, Types of Risk in Projects, Risk Management Process, Risk Analysis & Identification, Impact of Risk Handling Measures, Work break Down Structure, New Venture Valuation (Asset Based, Earnings Based, Discounted Cash flow Models) ➤ Cost Benefit Analysis in Projects Introduction to Cost Benefit Analysis, Efficient Investment Analysis, CashFlow Projections, Financial Criteria for Capital Allocation, Strategic InvestmentDecisions . 	
UNIT IV	15
New Dimensions in Project Management	
<ul style="list-style-type: none"> ➤ Modern Development in Project Management: Introduction to Modern Development in Project Management, Project Management Maturity Model (PMMM), Continuous Improvement, Developing Effective Procedural Documentation, Capacity Planning ➤ Project Monitoring & Controlling: Introduction to Project Monitoring & Controlling, The Planning – Monitoring- Controlling Cycle, Computerized Project Management 	

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Information System (PMIS), Balance in Control System in Project Management, Project Auditing – Life Cycle		
<ul style="list-style-type: none"> ➤ Project Termination & Solving Project Management Problems: Meaning of Project Termination, Reasons for Termination of Projects, Process for Terminating Projects, Strategy/ Ways to Solve Project Management Problems, Project Review & Administrative Aspects, Execution Tools for Closing of Project. 		

SEMESTER V		L	Cr
Paper-IV: Direct Taxation	Paper Code: RJCUBVOCFMS504	60	04
UNIT I		15	
Definitions and Residential Status			
<ul style="list-style-type: none"> ➤ Basic Terms (S. 2,3,4) Assessee, Assessment, Assessment Year, Annual Value, Business, Capital Assets, Income, Previous Year, Person, Transfer. ➤ Determination of Residential Status of Individual, Scope of Total Income (S.5) 			
UNIT II		15	
Heads of Income – I			
<ul style="list-style-type: none"> ➤ Salary (S.15-17) ➤ Income from House Property (S. 22-27) ➤ Profit & Gain from Business and Profession (S. 28, 30,31,32, 35, 35D, 36, 37, 40, 40A and 43B) 			
UNIT III		15	
Heads of Income - II			
<ul style="list-style-type: none"> ➤ Capital Gain (S. 45, 48, 49, 50 and 54) ➤ Income from other sources (S.56- 59) ➤ Exclusions from Total Income (S.10) <p>(Exclusions related to specified heads to be covered with relevant heads of income)</p>			
UNIT IV		15	
Computation of Total Income & Taxable Income			

<ul style="list-style-type: none"> ➤ Deductions from Total Income S. 80C, 80CCC, 80D, 80DD, 80E, 80U, 80TTA ➤ Computation of Taxable Income of Individuals. 	
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SEMESTER V		L	Cr
Paper V: Innovative Financial Services	Paper Code: RJCUBVOCFMS505	60	04
UNIT I		15	
Introduction to Traditional Financial Services			
<ul style="list-style-type: none"> ➤ Financial Services: Concept, Objectives/Functions, Characteristics, Financial Service Market, Financial Service Market Constituents, Growth of Financial Services in India, Problems in Financial Services Sector, Banking and Non-Banking Companies, Regulatory Framework ➤ Factoring and Forfaiting: Introduction, Types of Factoring, Theoretical Framework, Advantages and Disadvantages of Factoring, Factoring in India, Factoring v/s Forfaiting, Working of Forfaiting, Benefits and Drawbacks of Forfaiting, Practical Problems. 			
UNIT II		15	
Issue Management and Securitization			
<ul style="list-style-type: none"> ➤ Issue Management and Intermediaries: Introduction, Merchant Bankers/ Lead Managers, Underwriters, Bankers to an Issue, Brokers to an Issue & Stock Broking ➤ Securitization: Definition, Securitization v/s Factoring, Features of Securitization, Pass Through Certificates, Securitization Mechanism, Special Purpose Vehicle, Securitisable Assets, Benefits of Securitization, New Guidelines on Securitization 			
UNIT III		15	
Financial Services and its Mechanism			

<ul style="list-style-type: none"> ➤ Lease and Hire-Purchase: <ul style="list-style-type: none"> - Meaning, Types of Lease - Finance Lease, Operating Lease, Advantages and Disadvantages of Leasing, Leasing in India, Legal Aspects of Leasing. - Definition of Hire Purchase, Hire Purchase and Installment Sale Characteristics, Hire Purchase and Leasing, Advantages of Hire Purchase, Problems of Hire Purchase. ➤ Housing Finance: <ul style="list-style-type: none"> Introduction, Housing Finance Industry, Housing Finance Policy Aspect, Sources of Funds, Market of Housing Finance, Housing Finance in India- Major Issues, Housing Finance in India – Growth Factors, Housing Finance Institutions in India, National Housing Bank (NHB), Guidelines for Asset Liability Management System in HFC, Fair Trade Practice Code for HFC's, Housing Finance Agencies 	
UNIT IV	15
Consumer Finance and Credit Rating	
<ul style="list-style-type: none"> ➤ Consumer Finance: <ul style="list-style-type: none"> Introduction, Sources, Types of Products, Consumer Finance Practice in India Mechanics of Consumer Finance, Terms, Pricing, Marketing and Insurance of Consumer Finance, Consumer Credit Scoring, Case for and against Consumer Finance ➤ Plastic Money: <ul style="list-style-type: none"> Growth of Plastic Money Services in India, Types of Plastic Cards- Credit card- Debit Card- Smart card- Add-on Cards, Performance of Credit Cards and Debit Cards, Benefits of Credit Cards, Dangers of Debit Cards, Prevention of Frauds and Misuse, Consumer Protection. Indian Scenario. Smart Cards- Features, Types, Security Features and Financial Applications. ➤ Credit Rating: <ul style="list-style-type: none"> Meaning, Origin, Features, Advantages of Rating, Regulatory Framework, Credit Rating Agencies, Credit Rating Process, Credit Rating Symbols. Credit Rating Agencies in India, Limitations of Rating. ➤ Depositaries: <ul style="list-style-type: none"> Overview of Depository, Features of Depository System, Eligibility Criteria, Agreement between depository & Issuer, Services & Functions, Obligations & Rights of Depositaries 	

SEMESTER V		L	Cr
Paper VI: Organisation Behaviour & HRM	Paper Code: RJCUBVOCFMS506	60	04
UNIT I		15	
Organisational Behaviour-I			
<ul style="list-style-type: none"> ➤ Introduction to Organizational Behaviour-Concept, definitions, Evolution of OB ➤ Importance of Organizational Behaviour-Cross Cultural Dynamics, Creating Ethical Organizational Culture & Climate ➤ Individual and Group Behaviour-OB models–Autocratic, Custodial, Supportive, Collegial & SOBC in context with Indian OB ➤ Human Relations and Organizational Behavior 			
UNIT II		15	
Organizational Behavior-II			
<ul style="list-style-type: none"> ➤ Managing Communication: Conflict management techniques. ➤ Time management strategies. ➤ Learning Organization and Organizational Design ➤ Rewards and Punishments-Termination, layoffs, Attrition, Retrenchment, Separations, Downsizing 			
UNIT III		15	
Human Resource Management-I			
<ul style="list-style-type: none"> ➤ HRM - Meaning, objectives, scope and functions ➤ HRP - Definition, objectives, importance, factors affecting HRP, Process of HRP, Strategies of HRM, Global HR Strategies ➤ HRD - Concept, meaning, objectives, HRD functions 			
UNIT IV		15	
Human Resource Management-II			
<ul style="list-style-type: none"> ➤ Performance Appraisal: concept, process, methods and problems, KRA'S ➤ Compensation - concept, components of Pay Structure, Wage and salary administration, Incentives and Employee benefits. ➤ Career planning-concept of career Planning, Career stages and career planning 			

SEMESTER VI		L	Cr
Paper -I: Investment Analysis and Portfolio Management	Paper Code: RJCUBVOCFMS601	60	06
UNIT I		15	
Introduction to Investment Environment			
<ul style="list-style-type: none"> ➤ Introduction to Investment Environment ➤ Introduction, Investment Process, Criteria for Investment, Types of Investors Investment V/s Speculation V/s Gambling, Investment Avenues, Factors Influencing Selection of Investment Alternatives ➤ Capital Market in India Introduction, Concepts of Investment Banks its Role and Functions, Stock ➤ Market Index, The NASDAQ, SDL, NSDL, Benefits of Depository Settlement Online Share Trading and its Advantages, Concepts of Small cap, Large cap Midcap and Penny stocks 			
UNIT II		15	
Risk - Return Relationship			
<ul style="list-style-type: none"> ➤ Meaning, Types of Risk - Systematic and Unsystematic risk, Measurement of Beta, Standard Deviation, Variance, Reduction of Risk through Diversification. Practical Problems on Calculation of Standard Deviation, Variance and Beta. 			
UNIT III		15	
Portfolio Management			
<ul style="list-style-type: none"> ➤ Portfolio Management: Meaning and Concept, Portfolio Principles, Factors affecting Investment Decisions in Portfolio Management, Portfolio Strategy Mix. ➤ Economics: Monetary & Fiscal Policy, Interests & Bank Markets 			
UNIT IV		15	
Theories, Capital Asset Pricing Model and Portfolio Performance Measurement			
<ul style="list-style-type: none"> ➤ Theories: Dow Jones Theory, Elloit Wave Theory, Efficient Market Theory ➤ Capital Asset Pricing Model: Assumptions of CAPM, CAPM Equation, Capital Market Line, Security Market ➤ Portfolio Performance Measurement: Meaning of Portfolio Evaluation, Sharpe's Ratio (Basic Problems), Treynor's Ratio (Basic Problems), Jensen's Differential Returns (Basic Problems) 			

SEMESTER VI		L	Cr
Paper –II:Business Planning & Entrepreneurial Management	Paper Code: RJCUBVOCFMS602	60	06
UNIT I		15	
Foundations of Entrepreneurship Development			
<ul style="list-style-type: none"> ➤ Foundations of Entrepreneurship Development: Concept and Need of Entrepreneurship Development. Definition of Entrepreneur, Entrepreneurship, Importance and significance of growth of entrepreneurial activities Characteristics and qualities of entrepreneur. ➤ Theories of Entrepreneurship: Innovation Theory by Schumpeter & Imitating Theory of High Achievement by McClelland X-Efficiency Theory by Leibenstein. Theory of Profit by Knight. Theory of Social change by Everett Hagen. ➤ External Influences on Entrepreneurship Development: Socio-Cultural, Political, Economical, Personal. Role of Entrepreneurial culture in Entrepreneurship Development. 			
UNIT II		15	
Types & Classification Of Entrepreneurs			
<ul style="list-style-type: none"> ➤ Intrapreneur – Concept and Development of Intrapreneurship ➤ Women Entrepreneur – concept, development and problems faced by Women Entrepreneurs, Development of Women Entrepreneurs with reference to Self Help Group ➤ Social entrepreneurship–concept, development of Social entrepreneurship in India. Importance and Social responsibility of NGO's. ➤ Entrepreneurial development Program (EDP) – concept, factor influencing EDP. Option available to Entrepreneur. (Ancillarisation, BPO, Franchise, M&A) 			
UNIT III		15	
Entrepreneur Project Development & Business Plan			
<ul style="list-style-type: none"> ➤ Innovation, Invention, Creativity, Business Idea, Opportunities through change. ➤ Idea generation– Sources-Development of product /idea, ➤ Environmental scanning and SWOT analysis ➤ Creating Entrepreneurial Venture-Entrepreneurship Development Cycle ➤ Business Planning Process-The business plan as an Entrepreneurial tool, scope and value of Business plan. ➤ Elements of Business Plan, Objectives, Market and Feasibility Analysis, Marketing, Finance, Organization & Management, Ownership, ➤ Critical Risk Contingencies of the proposal, Scheduling and milestones. 			

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UNIT IV	15	
Venture Development		
<ul style="list-style-type: none"> ➤ Steps involved in starting of Venture ➤ Institutional support to an Entrepreneur ➤ Venture funding, requirements of Capital (Fixed and working) Sources of finance, problem of Venture set-up and prospects ➤ Marketing: Methods, Channel of Marketing, Marketing Institutions and Assistance. ➤ New trends in entrepreneurship. 		

SEMESTER VI		L	Cr
Paper III: Project Management	Paper Code: RJCUBVOCFMS603	60	06
UNIT I	15		
Introduction to Project Management & Project Initiation			
<ul style="list-style-type: none"> ➤ Introduction to Project Management: Meaning/Definition of Project & Project Management, Classification of Projects, Why Project Management, Characteristics/Importance of Project Management, Need for Project Management (Objectives), History of Project Management. ➤ Organizational Structure (Project Organization): Meaning/Definition of Organizational Structure, Organizational Work Flow, Developing Work Integration Positions, Types of Organizational Structure, Forms of Organization, Strategic Business Units (SBU) in Project Management. ➤ Project Initiation: Project Selection-Meaning of Project Selection, Importance of Project Selection, Criteria for Project Selection (Models), Types of Project Selection, Understanding Risk & Uncertainty in Project Selection Project Manager-Meaning of Project Manager, Role of Project Manager, Importance of Project Manager, Role of Consultants in Project Management, Selecting Criteria for Project Manager Project Planning-Importance of Project Planning, Functions of Project Planning, System Integration, Project Management Life Cycle, Conflicts & Negotiation Handling in Project Management, Planning 			
UNIT II	14		
Analyzing Project Feasibility			

<ul style="list-style-type: none"> ➤ Project Feasibility Analysis: Meaning/Definition of Project Feasibility, Importance of Project Feasibility, Scope of Project Feasibility. Types of Project Feasibility - Market Feasibility, Technical Feasibility, Financial Feasibility, Economic Viability, Operational Feasibility SWOT Analysis (Environment Impact Assessment, Social Cost Benefit Analysis) ➤ Market Analysis: Meaning of Market Analysis, Demand Forecasting, Product Mix Analysis, Customer Requirement Analysis ➤ Technical Analysis: Meaning of Technical Analysis, Use of Various Informational Tools for Analyzing, Advancement in the Era of E- Commerce in Project Management ➤ Operational Analysis: Meaning of Operation Management, Importance of Operation Management, Operation Strategy - Levels of Decisions, Production Planning & ControlMaterial Management - WorkStudy & Method Study, Lean Operations 	
UNIT III	16
Budgeting, Cost & Risk Estimation in Project Management	
<ul style="list-style-type: none"> ➤ Funds Estimation in Project: Means of Financing, Types of Financing, Sources of Finance, Government Assistance towards Project Management for Start ups, Cost Control (Operating Cycle, Budgets & Allocations), Determining Financial Needs for Projects, Impact of Leveraging on Cost of Finance. ➤ Risk Management in Projects: What is Risk, Types of Risk in Projects, Risk Management Process, Risk Analysis & Identification, Impact of Risk Handling Measures, Work break Down Structure, New Venture Valuation (Asset Based, Earnings Based, Discounted Cash flow Models) ➤ Cost Benefit Analysis in Projects Introduction to Cost Benefit Analysis, Efficient Investment Analysis, Cash - Flow Projections, Financial Criteria for Capital Allocation, StrategicInvestment Decisions. 	
UNIT IV	15
New Dimensions in Project Management	

<ul style="list-style-type: none"> ➤ Modern Development in Project Management: Introduction to Modern Development in Project Management, Project Management Maturity Model (PMMM), Continuous Improvement, Developing Effective Procedural Documentation, Capacity Planning ➤ Project Monitoring & Controlling: Introduction to Project Monitoring & Controlling, The Planning – Monitoring- Controlling Cycle, Computerized Project Management Information System (PMIS), Balance in Control System in Project Management, Project Auditing – Life Cycle ➤ Project Termination & Solving Project Management Problems: Meaning of Project Termination, Reasons for Termination of Projects, Process for Terminating Projects, Strategy/ Ways to Solve Project Management Problems, Project Review & Administrative Aspects, Execution Tools for Closing of Project. 		
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SEMESTER VI		L	Cr
Paper –IV:Fundamental & Technical Analysis	Paper Code: RJCUBVOCFMS604	60	04
TECHNICAL ANALYSIS			
UNIT I		10	
Introduction to Technical Analysis			
<ul style="list-style-type: none"> ➤ Technical analysis, Basic assumptions, Strengths and Weakness; Dow theory, Charts, Candlestick charts analysis with one two and three candles like hammer, hanging man, shooting star, bearish and bullish ➤ Pattern Study - Support and resistance, Head and shoulders, Double top and double bottom and Gap theory. 			
UNIT II		5	
Major Indicators and Oscillators			
<ul style="list-style-type: none"> ➤ Stochastic, RSI, Williams %R, MFI, Bollinger bands, Moving Averages, ➤ MACD 			
UNIT III		5	
Major Theories in TA			
<ul style="list-style-type: none"> ➤ Dow Theory and Eliot Wave Theory 			

UNIT IV	10	
Risk Management, Trading Psychology and Trading Strategies		
<ul style="list-style-type: none"> ➤ Risk Management – Need, techniques, uses of stop loss, qualities of successful traders, golden rules of traders, do's and don'ts in trading, Rules to stop losing money, Choosing the right market to trade, Importance of discipline in trading; Day trading, Advantages of day trading, Risks Associated with trading, Strategies for day trading, Momentum trading strategies. 		

<u>FUNDAMENTAL ANALYSIS</u>		
UNIT I	5	
Fundamental Analysis: An introduction		
<ul style="list-style-type: none"> ➤ What is fundamental analysis? ➤ Why is fundamental analysis relevant for investing? - Efficient Market Hypothesis (EMH), Arguments against EMH, Does fundamental analysis work? ➤ Steps in Fundamental Analysis 		
UNIT II	5	
Brushing up the Basics		
<ul style="list-style-type: none"> ➤ Concept of “Time value of Money” ➤ Interest Rates and Discount Factors – Opportunity cost, Risk-Free Rate, Equity Risk Premium, the Beta, Risk Adjusted Return (Sharpe Ratio) 		
UNIT III	10	
Understanding Financial Statements		
<ul style="list-style-type: none"> ➤ Where can one find financial statements – The Director’s Report, The Auditor’s Report, and Financial Statements: ➤ Balance Sheet, Income Statements, Schedules and Notes to the Accounts, Cash Flow Statement? ➤ Financial Statement Analysis and Forensic Accounting ➤ Comparative and Common- size financial statements ➤ Financial Ratios ➤ Du- Pont Analysis ➤ Cash Conversion cycle ➤ The Satyam case and need for forensic accounting 		
UNIT IV	10	
Valuation Methodologies		

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<ul style="list-style-type: none"> ➤ Top-Down valuation (EIC Analysis) – Economy, Industry, Company Discounted Cash Flow (DCF) Models ➤ Dividend Discount Model (DDM) ➤ Free Cash Flow to Firm (FCFF) and Free Cash Flow to Equity (FCFE) based DCF ➤ Sum of the part (SOTP) ➤ Price-to-Earnings (PE) ratio ➤ Price-to-Book value (PB) ratio ➤ EV / EBITDA ➤ Price to Sales (/S) ratio ➤ Special cases of valuation – IPOs, Financial Services firms, Net interest margin (NIM), Firms with negative cash flows, Acquisition valuation, Distressed companies 	
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SEMESTER VI		L	Cr
Paper –V Global Capital Markets	Paper Code: RJCUBVOCFMS605	60	04
UNIT I		15	
Impact of Globalization on the Capital Markets			
<ul style="list-style-type: none"> ➤ Growing International Integration ➤ Role of Media & Technology in Capital mobility ➤ Diversification benefits of global investment ➤ Mergers and Acquisition 			
UNIT II		15	
Global (International Bond Market)			
<ul style="list-style-type: none"> ➤ Domestic Bonds, Euro Bonds & foreign Bonds ➤ Participants in the global bonds markets. ➤ Credit rating agencies & their role ➤ Procedure for issuing Euro Bonds 			
UNIT III		15	
Global Equity Markets			
<ul style="list-style-type: none"> ➤ Major stocks markets of the world ➤ Emerging stock markets ➤ International Equity Trading – Multiple Listing ➤ Depository receipts 			
UNIT IV		15	
Obstacles to International Investments			

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<ul style="list-style-type: none"> ➤ Information Barriers ➤ Foreign Exchange Risk ➤ Political risks, Market risks ➤ Taxation ➤ Other Regulatory Barriers 	
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SEMESTER VI		L	Cr
Paper –VI Venture Capital and Private Equity	Paper Code: RJCUBVOCFMS606	60	04
UNIT I		15	
Roles and Hedge Funds			
<ul style="list-style-type: none"> ➤ Nature of PE Firm- Players in the PE market– Benefit of PE Finance ➤ Venture Capital and Private Equity ➤ Financing- Private Equity Multiples and Prices- Private Equity Funds and ➤ Private Equity Firms- Investment Feature and Consideration 			
UNIT II		15	
Cycle of PE			
<ul style="list-style-type: none"> ➤ Structure of VC/PE firms- Limited Liability Partnerships- Routes ➤ of VC/PE investments in India- Regulatory Aspects of VC/PE investments ➤ Method- Revenue Multiplier Method 			
UNIT III		15	
Strategies of Private Equity			
<ul style="list-style-type: none"> ➤ Leverage Buyout- ➤ Growth Capital- ➤ Mezzanine Capital- ➤ Distressed Debt- ➤ Other Strategies ➤ Market-Investing in Developing Market- Past Performance and Strategy 			
UNIT IV		15	
Exit strategies for Private Equity			
<ul style="list-style-type: none"> ➤ IPO ➤ Promoter Buyback ➤ Stake Swap ➤ M & A's ➤ Open Market ➤ Secondary Market 			

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TYBVOC	Semester V
RJCUBVOCFMS501 Paper I- Personality Enrichment	<u>Learning outcomes:</u> <ul style="list-style-type: none"> ➤ Describe how a personality develops. ➤ Define the stages of personality development. Describe basic personality traits. ➤ Describe how morals are developed. Define personality types ➤ Describe how personality affects career choices. ➤ Describe methods for changing your personality. ➤ Describe personality disorders. <u>Course Outcomes:</u> <ul style="list-style-type: none"> ➤ The Learner will be able to work on personality changes, and Demonstrate mastery of lesson content at levels of 70% or higher. ➤ The learner can Jung the theories about development.

TYBVOC	Semester V
RJCUBVOCFMS502 Paper II – International Finance	<u>Learning outcomes:</u> <ul style="list-style-type: none"> ➤ To provide knowledge to the learner about various currency pairs around the world. ➤ To make them understand about the impact of currency rate fluctuations on the countries national economy ➤ To make learner understand the working of forex world. ➤ To make them understand various terminologies of the forex world. <u>Course Outcomes:</u> <ul style="list-style-type: none"> ➤ This will help them to learn how to invest in forex market ➤ This will help them to learn about the risks in the international markets ➤ The learner will get the knowledge about the impact of currency fluctuations on various economy ➤ They will also learn about various international modes of fund transfer

TYBVOC	Semester V
RJCUBVOCFMS503 Paper III – Project Management	<p><u>Learning Outcome:</u></p> <ul style="list-style-type: none"> ➤ Different types of Projects which manage by management. ➤ Risk which involve in operation and capital of the firm. ➤ It help to understand the running projects is given output is positive or negative as per the investment. <p><u>Course Outcomes:</u></p> <ul style="list-style-type: none"> ➤ To familiarize the learners with the fundamental aspects of various issues associated with Project Management ➤ To give a comprehensive overview of Project Management as a separate area of Management ➤ To introduce the basic concepts, functions, process, techniques and create an awareness of the role, functions and functioning of Project Management.

TYBVOC	Semester V
RJCUBVOCFMS504 Paper IV – Direct Taxation	<p><u>Learning Outcomes:</u></p> <ul style="list-style-type: none"> ➤ Demonstrate knowledge of the concepts, principles, and rules of taxation of individuals and small businesses; ➤ Recognize tax planning opportunities and recommend appropriate tax-saving strategies for decision making; ➤ Address tax situations for a variety of taxpayers, such as wage earners, salespersons, owners of small business, professionals, investors, home and rental property owners, farmers, etc., ➤ Provide students with an understanding of the income tax system in a range of contexts. ➤ Provide knowledge of fundamental concepts of income tax laws. ➤ Enable students to develop experience in identifying tax issues and applying the income tax law to arrive at reasoned solutions to problems. <p><u>Course Outcome:</u></p> <ul style="list-style-type: none"> ➤ On successful completion of this course, students will be able to: ➤ Identify and apply fundamental concepts of income tax law. ➤ Investigate and analyze current income tax information and issues. ➤ Communicate effectively orally income tax information and solutions to income tax issues. ➤ Communicate effectively in writing income tax information and solutions to income tax issues. ➤ Students will apply critical thinking and problem solving skills related to taxation of individuals, flow through entities, and corporations. In addition, students will recognize potential

TYBVOC (Financial Markets & Services) Syllabus Semester V & VI

	<p>opportunities for tax savings and tax planning.</p> <ul style="list-style-type: none"> ➤ Students will convert complex and technical tax terminology into language that translates to non-technical audiences. This outcome allows students to demonstrate strong interpersonal communication skills that build relationships with clients over time, and be able to prepare work products with careful attention to word choice, tone, and accuracy.
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TYBVOC	Semester V
RJCUBVOCFMS505 Paper V – Innovative Financial Services	<p><u>Learning outcomes:</u></p> <ul style="list-style-type: none"> ➤ Acquire advanced knowledge of topical industry innovations within financial services market place and the financial markets. ➤ Understand and assess implications of financial innovation for various stakeholders including investors, financial markets, government regulators, banking and financial institutions, and business organizations. ➤ Critically evaluate innovations according to extant theories and industry practices. ➤ Formulate an informed assessment and provide recommendations about a topical aspect of innovation in financial services and markets. ➤ Reflect upon current industry practices and experience, the goals of ethical and sustainable business growth, and professional practice. <p><u>Course Outcomes:</u></p> <ul style="list-style-type: none"> ➤ Acquire advanced knowledge of topical industry innovations within financial services market place and the financial markets

TYBVOC	Semester V
RJCUBVOCFMS506 Paper VI – Organizational Behavior & HRM	<p><u>Learning Outcomes:</u></p> <ul style="list-style-type: none"> ➤ The objective of this course is to familiarize the student with the fundamental aspects of various issues associated with Human Resource Management as a whole. ➤ The course aims to give a comprehensive overview of Organization Behavior as a separate area of management. ➤ To introduce the basic concepts, functions and processes & create an awareness of the role, functions and functioning of Human Resource Management & OB.

	<p><u>Course Outcome:</u></p> <ul style="list-style-type: none"> ➤ Learners will get an insight on the various aspects of human behaviour and an understanding of implementing the strategies for the best utilization of the same. ➤ Learners will learn the different functions of human resource management and an in-depth understanding of the implementation of these functions in the actual organisational environment. ➤ They will be honed with the practical aspects of HR functionalities through solving cases.
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SEMESTER VI

TYBVOC	Semester VI
RJCUBVOCFMS601 Paper I – Investment Analysis and Portfolio Management	<p><u>Learning outcomes:</u></p> <ul style="list-style-type: none"> ➤ Understanding the characteristics of different financial assets such as money market instruments, bonds, and stocks, and how to buy and sell these assets in financial markets. ➤ Understanding the benefit of diversification of holding a portfolio of assets, and the importance played by the market portfolio. ➤ How to apply different valuation models to evaluate fixed income securities, stocks, and how to use different derivative securities to manage their investment risks. <p><u>Course Outcomes:</u></p> <ul style="list-style-type: none"> ➤ Understanding investment environment & difference between investment V/S speculation, gambling and other investment concept. ➤ Understanding Capital Market & concept related to different product and there settlement. ➤ Advantages of online share trading & different concept related to High Frequency Trading(HFT) ➤ Understanding risk- return relationship and there types & learning ways to minimiserisk . ➤ Understanding Portfolio Building and Portfolio Management. ➤ Understanding different models of Portfolio Management (CAPM,CML & SML) ➤ Portfolio Evaluation using different Portfolio Ratios.

TYBVOC	Semester VI
RJCUBVOCFMS602	<p><u>Learning outcomes:</u></p> <ul style="list-style-type: none"> ➤ Entrepreneurship is one of the major focus areas of the discipline of Management. This course introduces Entrepreneurship to budding

Paper II – Business Planning & Entrepreneurial Management	<p>managers.</p> <ul style="list-style-type: none"> ➤ ➤ To develop entrepreneurs & to prepare students to take the responsibility of full line of management function of a company with special reference to SME sector. <p><u>Course Outcome:</u></p> <ul style="list-style-type: none"> ➤ Learner will inculcate the basic understanding of entrepreneurial aspects with respect to the qualities, and different types of entrepreneurs.
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TYBVOC	Semester VI
RJCUBVOCFMS6 03 Paper III – Project Management	<p><u>Learning Outcome:</u></p> <ul style="list-style-type: none"> ➤ Different types of Projects which manage by management. ➤ Risk which involve in operation and capital of the firm. ➤ It help to understand the running projects is given output is positive or negative as per the investment. <p><u>Course Outcomes:</u></p> <ul style="list-style-type: none"> ➤ To familiarize the learners with the fundamental aspects of various issues associated with Project Management ➤ To give a comprehensive overview of Project Management as a separate area of Management ➤ To introduce the basic concepts, functions, process, techniques and create an awareness of the role, functions and functioning of Project Management.

TYBVOC	Semester VI
RJCUBVOCFMS6 04 Paper IV – Fundamental & Technical Analysis	<p><u>Learning outcomes</u></p> <p>Fundamental Analysis</p> <ul style="list-style-type: none"> ➤ Understanding how Balance Sheet and Income Statement can fit and be analyzed together. ➤ Understand different type of ratios use in business purposes. ➤ Imparts knowledge about the macroeconomic, Industry and sectoral analysis and other qualitative factors. ➤ Concepts behind security analysis and be able to use this further for stock analysis, personal portfolio management. <p>Technical Analysis</p> <ul style="list-style-type: none"> ➤ Studies and theories used by traders for proper technical analysis. ➤ Purpose and drawbacks of technical analysis. ➤ How to read the charts under the scope of technical analysis in order to generate high probability trades. ➤ Integrating price action into technical analysis. ➤ How to deal with lagging and non-lagging indicators.

	<p>➤ Matching indicators across different time frames.</p> <p><u>Course outcome:</u></p> <p>Fundamental Analysis</p> <ul style="list-style-type: none"> ➤ Learners will be able to interpret fundamentals of company and the financial statements. ➤ Understanding concept of Time value of money. ➤ Learners will be able to forecast companies growth and project future price. ➤ Understanding and learning key financial ratios and Du-point Analysis. ➤ Gaining knowledge of different Valuation Models- (Top- Down valuation & Discount cash flow model) ➤ Practical Case Study on forensic accounting (Satyam Scam). <p>Technical Analysis</p> <ul style="list-style-type: none"> ➤ Learners will be able to find Chart Patterns, Indicators, Support & Resistance. ➤ Understanding use of major indicators & oscillator on charts. ➤ Gain Knowledge of major theories in technical analysis i.e Dow & Elliot wave theory. ➤ Learners will be able to learn how to minimize risk with help of technical analysis. ➤ Understanding Trading Psychology, Trading Strategies & Behavioral finance which will help in Investment decisions.
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TYBVOC	Semester VI
RJCUBVOCFMS60 5 Paper V Global Capital Markets	<p><u>Learning outcomes</u></p> <ul style="list-style-type: none"> ➤ Provide knowledge to the learners regarding products in global capital markets ➤ To learn about the various financial products that are used for long term borrowing and lending. ➤ Making them learn about the various sources of fund raising finance. <p><u>Course outcomes</u></p> <ul style="list-style-type: none"> ➤ The learner gains knowledge about the capital market structure. ➤ They will learn about the various instruments available in the global markets. ➤ It will help them to know how capital markets protect the interest of the individuals in the market. ➤ This will help them to have knowledge in the field of financial planning in the company. ➤ This will also give exposure to various products in finance world.

TYBVOC	Semester VI
RJCUBVOCFMS606 Paper VI Venture Capital and Private Equity	<p><u>Learning outcomes:</u></p> <ul style="list-style-type: none"> ➤ Understand the history of private equity and venture capital and describe the current regulatory and ethical challenges the venture capital industry faces ➤ Recognize how venture capital varies across different parts of the world ➤ Understand the role of venture capital in supporting the entrepreneurial process and company growth ➤ Explain the venture capital cycle and the structure of a venture capital fund and how the structure varies for businesses in different stages ➤ Explain how company valuations are set and how the investment deal is negotiated and structured ➤ Demonstrate the screening and the due diligence processes and the factors that govern venture capitalist investment decisions ➤ Critically discuss and evaluate how venture capital firms measure the performance and optimize returns from their investments ➤ <p><u>Course outcomes:</u></p> <ul style="list-style-type: none"> ➤ Completing the Course, the Learner will be able to: ➤ Understand the history of private equity and venture capital and describe the current regulatory and ethical challenges the venture capital industry faces. ➤ Critically discuss and evaluate how venture capital firms measure the performance and optimize returns from their investments

SCHEME OF EXAMINATIONS

1. Two Internal Assessment examinations of 20 marks each. Duration 30min for each.
Internal Assessments could be either of the following depending on the nature of the course: Written Exam/ Group Project/ Individual Project/ Book Review/ Class Test/ Case Study/ Presentation Power point/ Audio – Visual presentation/ Oral Presentation.
2. One External (Semester End Examination) of 60 marks. Duration 2 hours.
3. Minimum marks for passing Semester End Theory Exam is 40 %.
4. Student must appear at least one of the two Internal Tests to be eligible for the Semester End Examination.
5. For any KT examinations, there shall be ODD-ODD/EVEN-EVEN pattern followed.
6. HOD's decision, in consultation with the Principal, shall remain final and abiding to all.

REFERENCE BOOKS AND READING MATERIAL

SEMESTER V

Personality Enrichment

- Mile, D.J (2004). Power of positive thinking. Delhi: Rohan Book Company.
- Pravesh Kumar (2005). All about self- Motivation. New Delhi: Goodwill Publishing House.
- Dudley, G.A. (2004). Double your learning power. Delhi: Konark Press. Thomas Publishing Group Ltd.
- Lorayne, H. (2004). How to develop a super power memory. Delhi: Konark Press. Thomas Publishing Group Ltd.
- Hurlock, E.B (2006). Personality Development, 28th Reprint. New Delhi: Tata Mc Graw Hill.

International Finance

- P G Apte, International Financial Management, 5th Edition, The McGraw Hill
- Cheol . S. Eun& Bruce G. Resnick, International Finance Management
- Maurice D. Levi, International Finance – Special Indian Edition
- Prakash G. Apte, International Finance – A Business Perspective
- V A. Aadhani, International Finance

Project Management

- Harold Kerzer, Project Management – A System Approach to Planning, Scheduling & Controlling
- Jack.R.Meredith&Samuel.J.Mantel, Jr.,Project Management – A Managerial Approach
- Bhavesh.M.Patel, Project Management – Strategic Financial Planning , Evaluation & Control

Direct Taxation

- Income Tax Act- Bare act
- Dr V K Singhania-Direct Tax Law & Practice

Innovative Financial Services

- IM Pandey, Financial Management, Vikas Publishing House Ltd.
- Khan M.Y., Financial Services, Mc Graw Hill Education.
- Dr.S.Gurusamy, Financial Services, Vijay Nicole Imprints.
- Financial Market and Services, E, Gordon and K. Natrajan, Himalaya Publishing House

Organization Behaviour& HRM

- Griffin, Ricky W: Organizational Behaviour, Houghton Mifflin Co., Boston.
- Prasad L M, Organizational Behaviour, Sultan Chand
- Khanka S. S., Organizational Behaviour, S. Chand
- P.L. Rao-International Human Resource
- Ivancevich; John and Micheol T. Matheson: Organizational Behaviour and Management, Business Publication Inc., Texas.
- Koontz, Harold, Cyril O'Donnell, and Heinz Weihrich: Essentials of management, Tata
- McGraw-Hill, New Delhi.
- Luthans, Fred: Organizational Behaviour, McGraw-Hill, New York.

SEMESTER VI

Investment Analysis & Portfolio Management

- Kevin. S, Security Analysis and Portfolio Management
- Donald Fischer & Ronald Jordon, Security Analysis & Portfolio Management
- Prasanna Chandra, Security Analysis & Portfolio Management
- Sudhindhra Bhatt, Security Analysis and Portfolio Management.

Business Planning & Entrepreneurial Management

- Dynamics of Entrepreneurial Development Management - Vasant Desai, Himalaya Publishing House.
- Entrepreneurial Development - S.S. Khanna
- Entrepreneurship & Small Business Management - CL Bansal, Haranand Publication
- Entrepreneurial Development in India - Sami Uddin, Mittal Publication
- Entrepreneur Vs Entrepreneurship- Human

Project Management

- Harold Kerzer, Project Management – A System Approach to Planning, Scheduling & Controlling
- Jack.R.Meredith&Samuel.J.Mantel, Jr.,Project Management – A Managerial Approach
- Bhavesh.M.Patel, Project Management – Strategic Financial Planning , Evaluation & Control

Fundamental and Technical Analysis

- Blake, David 1992, Financial Market Analysis,
- Mc Graw Hill London Francis J.C Investments- Analysis and Management
- Mc Graw Hill New York. Pistolese Clifford Using Technical Analysis Vision Books

Venture Capital & Private Equity

- The Masters of Private Equity and Venture Capital: Robert Finkel:
- McGraw-Hill Education Guide to Private Equity
- CA NehaBhuvania: Taxmann Venture Capital, Private Equity, and the Financing of Entrepreneurship: Josh Lerner Ann Leamon, FeldaHardymon:
- Wiley The Business of Venture Capital: MahendraRansinghani:
- Wiley Finance Venture Capital Financing in India: J C Verma :Response Books

Note: In some subjects reference books are not written because professors are allowed to give to students their notes and refer websites at their discretion.